



September 15, 2023

Company Name: Open House Group Co.,Ltd.  
Representative: Masaaki Arai, President and CEO  
Securities code: 3288, TSE  
Contact: Kotaro Wakatabi, Senior Managing Director and CFO

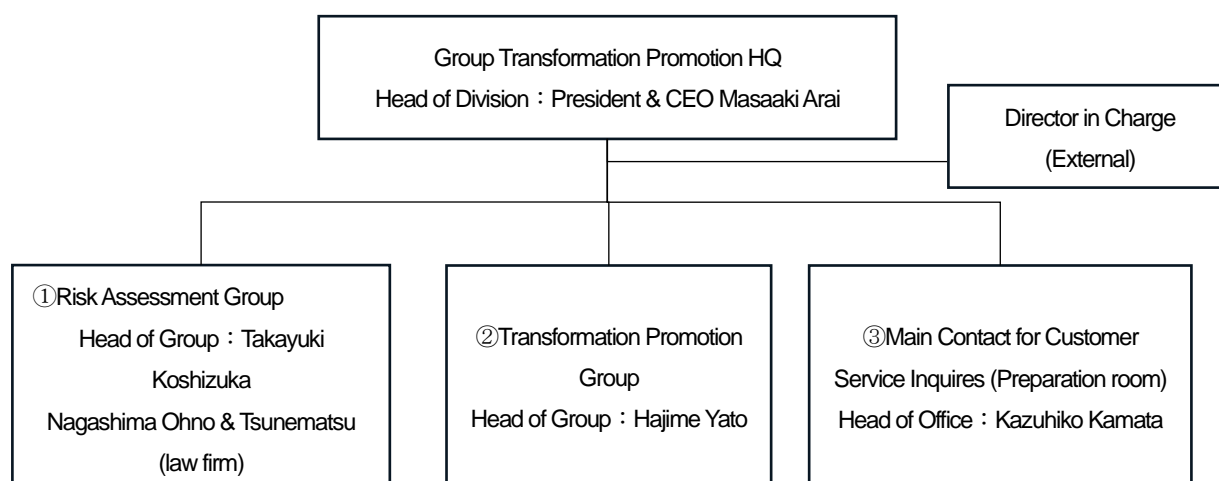
## Notice Regarding Strengthening of Governance and Compliance System

The Group has long believed that ensuring the soundness, transparency, and objectivity of management is important in maximizing corporate value. We have conducted evaluations and analysis of the effectiveness of the Board of Directors as a whole, based on the "Basic Policy on Corporate Governance" that describes our philosophy and basic policies.

On one hand, under the medium-term management plan "ikouze 1 cho! 2023", we achieved consolidated sales of 952.6 billion yen in the fiscal year ending September 2022, and set a consolidated sales target of 1,130 billion yen for the fiscal year September 2023, where we are close to achieving consolidated sales of 1 trillion yen. To strengthen our governance and compliance systems, in line with this rapid expansion of corporate scale, we seek advice and verification from outside experts, to identify potential issues and continually improve our systems. We recognize that working to strengthen our business will become an even more important management issue than ever before. We would like to inform you that we have made the decision at the Board of Directors meeting held today, to further strengthen its governance and compliance by newly establishing the Group Transformation Promotion Headquarters, which conducts compliance risk assessments as part of its activities.

### 1. Regarding Group Transformation Promotion Headquarters

#### (1) Organizational Structure Chart



#### (1) Structure

The Group Transformation Promotion Headquarters has been established as an organization under the direct control of the President and CEO, who serves as the Head of the Headquarters. It is comprised of persons in charge of related departments and operates from a cross-organizational perspective without being restricted by company boundaries.

(2) Roles

The Risk Assessment Group, with the support of outside experts, identifies organizational governance issues and legal issues within the Group, who then reports and submits these issues to the Group Transformation Promotion Headquarters. Based on given instructions, the Transformation Promotion Group will then formulate reformation policies, review systems and processes, present improvement measures, and work to ensure that they are thoroughly disseminated throughout the Group. The Main Contact for Customer Service Inquires serves as the point of contact for inquiries and complaints for the entire Group and takes the lead in resolving issues identified by customers. The knowledge gained through this process is then transferred to business departments to implement improvement measures for customer satisfaction.

(3) Date of Implementation

September 15<sup>th</sup>, 2023

2. Implementation of Compliance Risk Assessment

As mentioned above, it is important to understand current governance and compliance issues, in order for the Group Transformation Promotion Headquarters to implement and promote measures to strengthen the systems.

We will conduct compliance risk assessments to identify our governance and compliance issues. The Risk Assessment Group plans to conduct the assessments in cooperation with external experts, Nagashima Ohno & Tsunematsu.

3. Implementation Policy for Specific Improvement Measures

The Group will implement the following items as measures to strengthen our governance and compliance systems, this includes measures that have already been imposed.

- ① Pursuit of long-term customer satisfaction
- ② Strengthening the quality control system in the construction of single-family homes
- ③ Transformation of organizational culture
- ④ Harassment prevention training
- ⑤ Expansion of internal reporting system
- ⑥ Establishment of a system to strengthen subsidiary governance

4. Corporations Subject to Compliance Risk Assessment

Open House Co.,Ltd.

Open House Development Co.,Ltd.

Open House Architect Co.,Ltd.

HAWK ONE CORPORATION